### **Appropriate Authority: Cumbria**

#### Most Similar Force (MSF) Group: Cumbria, Lincolnshire, Norfolk, North Wales

#### **About this bulletin**

This bulletin presents information about complaints defined under the Police Reform Act 2002, as amended by the Police and Crime Act 2017. The new legislation came into effect on 1 February 2020 (4 January 2021 for the British Transport Police). It sets out performance against a number of measures and compares force (MSF) group and with the overall result for all forces (national). Data relating to complaints made prior to 1 February 2020 will be reported separately and in line with the previous performance framework Please note: Data regarding complaints handled outside of Schedule 3 is extracted from a different system by Bedfordshire Police, Cambridgeshire Constabulary & Hertfordshire Constabulary than by other forces. This data is currently considered experimental, therefore the data may not offer a direct comparison with other forces.

As reported in the Annual Police Complaint Statistics, data for 2020/21 was considered experimental. Due to this, 'Same Period Last Year' figures are not contained in this bulletin.

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Notes

	Acronyms used in this bulletin Force – year to date force numbers
ies	<b>IOPC</b> – Independent Office for Police Conduct
(factors)	<b>Inc.</b> – including
	Ind – independent investigation
	Loc – local investigation
	LPB – local policing body
	MSF – most similar force
	Nat. – national
	No. – number
	PRA – the Police Reform Act 2002
	<b>RPRP</b> – reflective practice review process
	<b>UPP</b> – unsatisfactory performance procedure

Appropriate Authority: Cumbria

## Section A1.1: Complaint cases logged and initial handling

This section presents information relating to the force's contact with customers and their initial handling of the complaint cases. It shows how long, on average, it takes from the customer's perspective from when they made the complaint and the force's performance of the initial handling from when it received the complaint.

Complaint cases with 'invalid dates' have been removed from timeliness measures. Please refer to the performance framework counting rules and calculations on the IOPC website for explanations of customer perspective, initial handling and invalid dates.

#### **To contact complainants**

Average number of working days	Customer perspective	Initial handling performance				
Force	8	8				
MSF Average	6	6				
National	13	9				

#### **To log complaint cases**

Average number of working days	Customer perspective	Initial handling performance
Force	2	2
MSF Average	4	3
National	9	5

Please note: average times presented in the above tables are rounded to the nearest whole number. In comparison, the charts present the exact result.

#### **Complaint cases logged**

Complaint cases logged

No. of complaint cases logged per 1,000 employees

#### **Reasons complaint cases are recorded under Schedule 3 of the PRA 2002**

The below table presents the number of complaint cases handled under Schedule 3 of the PRA 2002 (formal handling) and the reasons why.

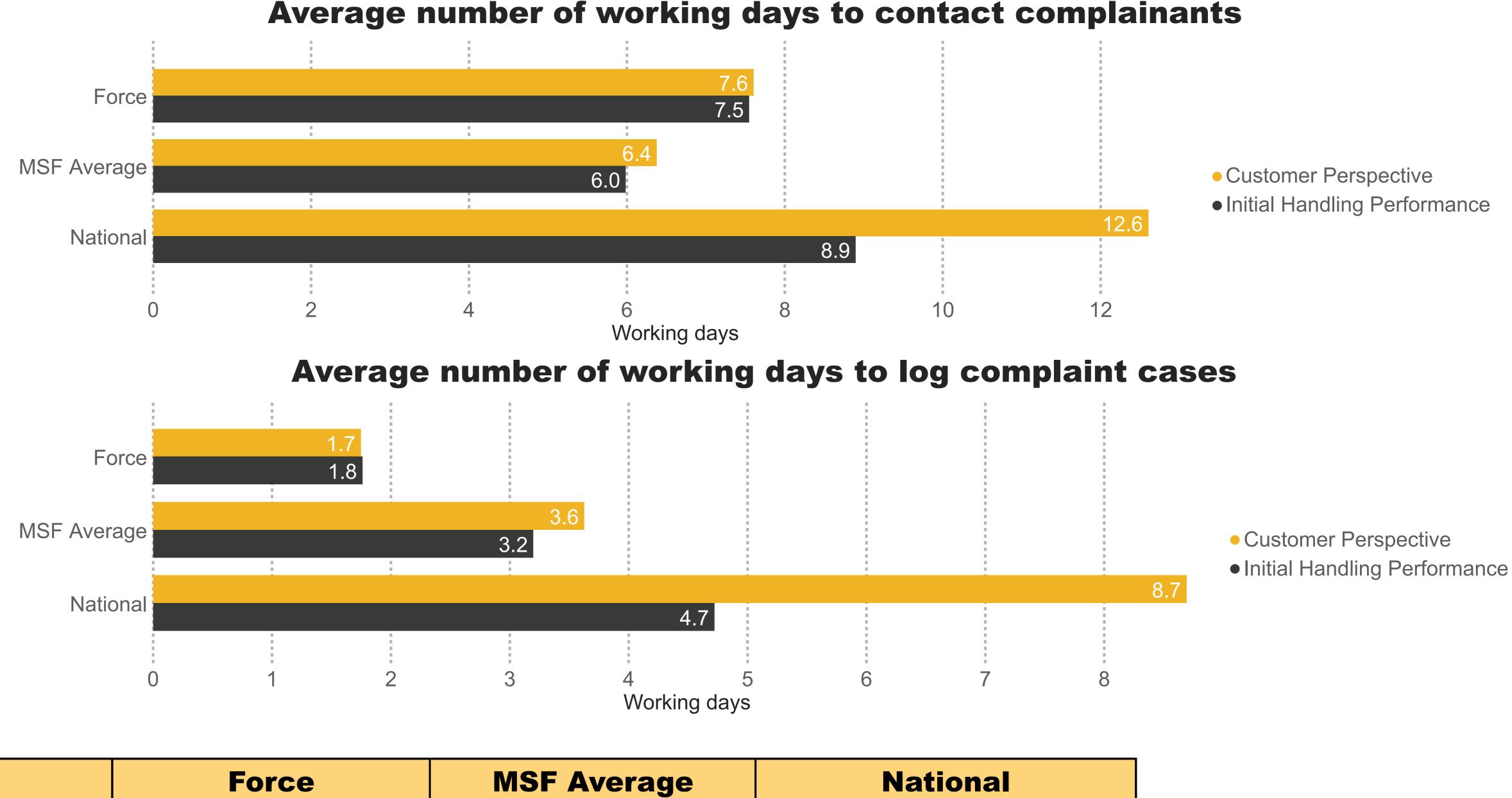
## **Reason complaint case recorded under Schedule**

AA/body responsible for initial handling decides

Complainant wishes the complaint be recorded

Dissatisfaction after initial handling

Nature of the allegation(s) in the complaint



Force	MSF Average	National
798	722	75,022
387	321	309

	Fo	MSF Av	erage	National		
<b>e 3</b>	No.	%	No.	%	No.	%
	102	36 %	134	29 %	14,760	41 %
	26	9 %	174	36 %	11,459	32 %
	42	15 %	23	7 %	4,021	11 %
	110	39 %	75	27 %	5,498	15 %



	days	to	contact	com	plaina	nts
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Appropriate Authority: Cumbria

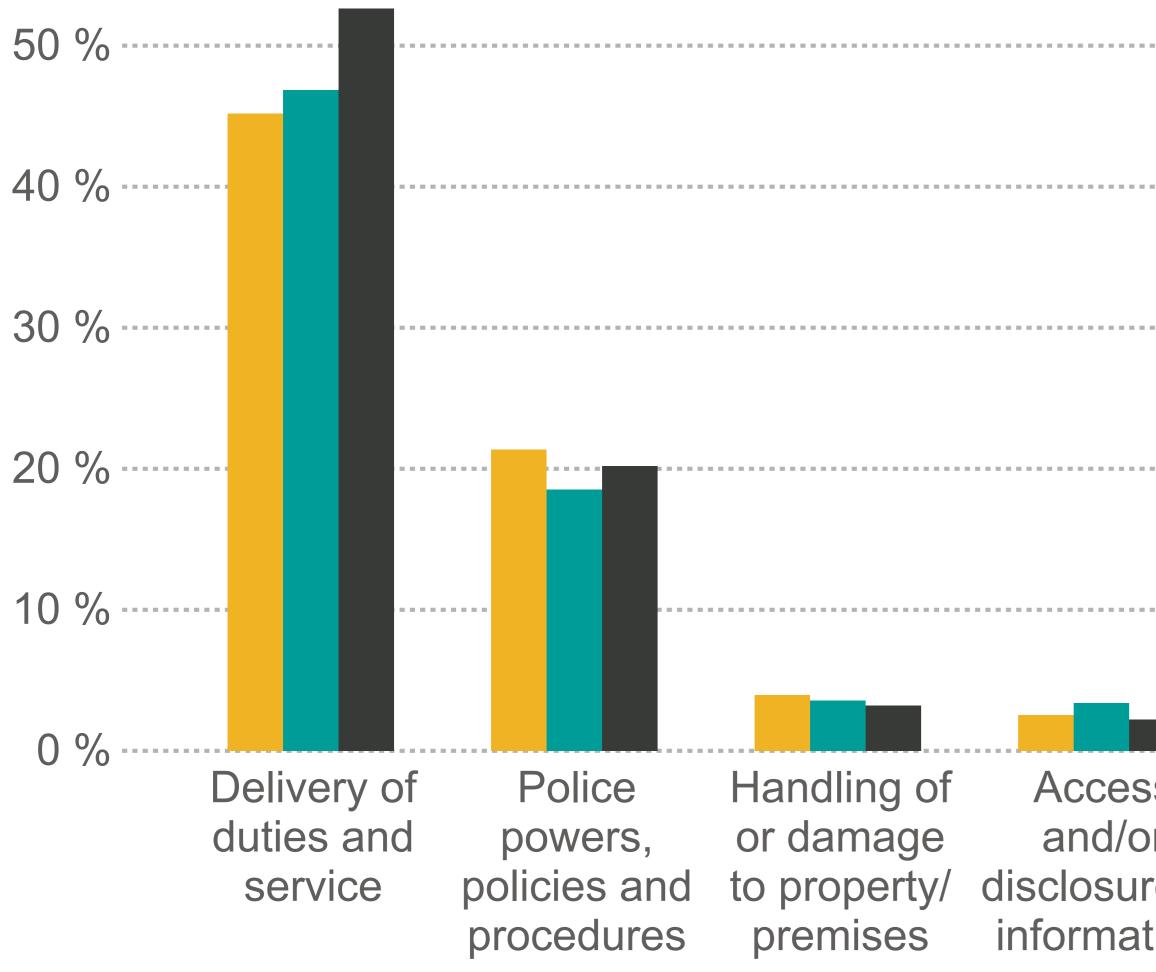
## **Section A1.2: Allegations logged - what has been complained about**

This section presents information that shows what people complain about. Allegation categories capture the root of the dissatisfaction expressed in a complaint. A complaint case will contain one or more allegations and one category is selected for each allegation logged.

Please refer to our <u>Guidance on capturing data about police complaints</u> for guidance on logging allegations and complaint category definitions.

•	Delivery of duties and service	Police powers, policies and procedures	Handling of or damage to property/ premises	Access and/or disclosure of information	vehicles	Discriminatory behaviour	Abuse of position/ corruption	Individual behaviours	Sexual conduct	Discreditable conduct	Other	Total
Force	571	270	50	32	26	27	14	235	5	6	28	1,264
MSF Average	593	234	45	42	15	31	35	243	5	6	11	1,260
National	63,511	24,386	3,861	2,668	1,298	3,735	1,639	16,767	279	622	1,925	120,691
Force	45 %	21 %	4 %	3 %	2 %	2 %	1 %	19 %	0 %	0 %	2 %	100 %
MSF Average	47 %	19 %	4 %	3 %	1 %	2 %	3 %	19 %	0 %	0 %	1 %	100 %
National	53 %	20 %	3 %	2 %	1 %	3 %	1 %	14 %	0 %	1 %	2 %	100 %

#### What has been complained about



Reporting Period: 01 April 2021 - 31 March 2022 (Q4 2021/22)

#### **Allegations logged**

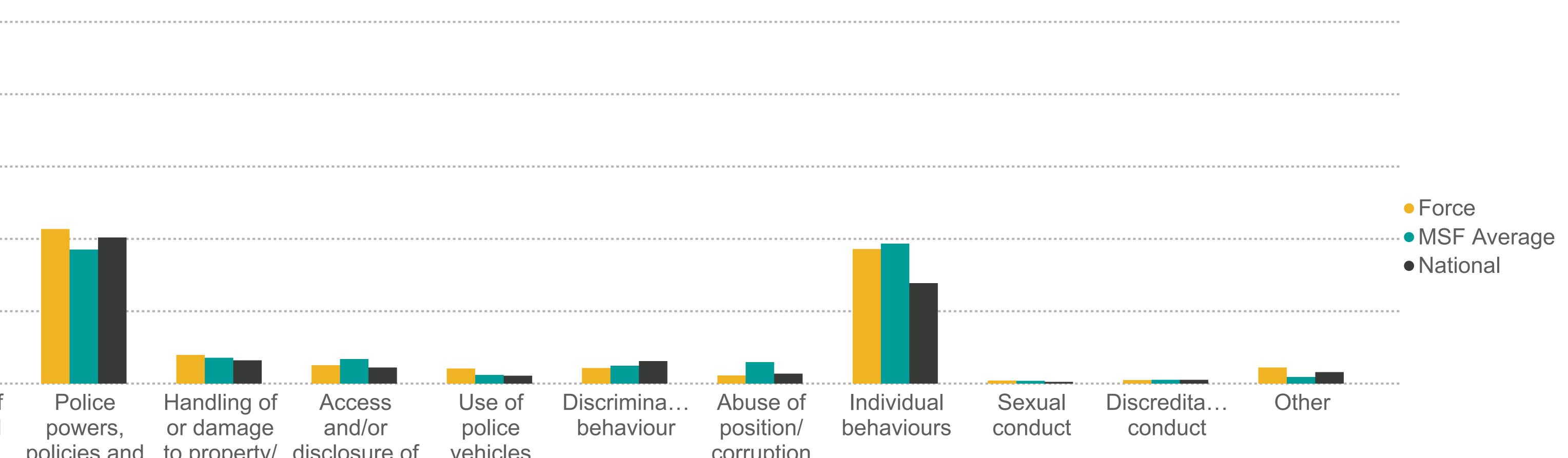
Allegations Logged No. of allegations logged per 1,000

ss or re of tion	Use of police vehicles	Discrimina behaviour	Abuse of position/ corruption	Individual behaviours	



)	1	

	Force	MSF Average	National
	1,264	1,260	120,694
) employees	613	529	497



Appropriate Authority: Cumbria

### Section A1.3: Allegations logged – what has been complained about – top five allegation categories and their subcategories

		For	ce	MSF A	verage	Nati	onal
Category	Subcategory	No.	%	No.	%	No.	%
Delivery of duties and service	Total	571	45 %	593	47 %	63,511	53 %
	Police action following contact	246	43 %	338	57 %	28,043	44 %
	Decisions	156	27 %	106	18 %	8,409	13 %
	General level of service	59	10 %	76	12 %	21,444	34 %
	Information	110	19 %	75	13 %	5,614	9 %
	None	0	0 %	0	0 %	1	0 %
Police powers, policies and	Total	270	21 %	234	19 %	24,386	20 %
procedures	Stops, and stop and search	14	5 %	13	5 %	1,724	7 %
	Searches of premises and seizure of property	29	11 %	27	12 %	3,113	13 %
	Power to arrest and detain	49	18 %	37	15 %	3,718	15 %
	Detention in police custody	40	15 %	42	19 %	3,182	13 %
	Bail, identification and interview procedures	18	7 %	13	6 %	838	3 %
	Use of force	82	30 %	76	33 %	7,104	29 %
	Evidential procedures	12	4 %	7	3 %	1,517	6 %
	Out of court disposals	9	3 %	3	1 %	306	1 %
	Other policies and procedures	17	6 %	18	7 %	2,881	12 %
	Impolite and intolerant actions	0	0 %	0	0 %	0	0 %
	None	0	0 %	0	0 %	2	0 %
	Use of force and detention in custody	0	0 %	0	0 %	1	0 %
Handling of or damage to	Total	50	4 %	45	4 %	3,861	3 %
property/ premises	Handling of or damage to property/ premises	50	100 %	45	100 %	3,583	93 %
	Delivery of duties and service	0	0 %	0	0 %	0	0 %
	None	0	0 %	0	0 %	278	7 %
Access and/or disclosure of	Total	32	3 %	42	3 %	2,668	2 %
information	Use of police systems	1	3 %	2	4 %	181	7 %
	Disclosure of information	28	88 %	29	70 %	1,855	70 %
	Handling of information	2	6 %	10	21 %	543	20 %
	Accessing and handling of information from other sources	1	3 %	2	5 %	89	3 %
Individual behaviours	Total	235	19 %	243	19 %	16,767	14 %
	Unprofessional attitude and disrespect	66	28 %	54	23 %	4,906	29 %
	Lack of fairness and impartiality	38	16 %	49	20 %	2,528	15 %
	Overbearing or harassing behaviours	54	23 %	57	22 %	2,945	18 %
	Impolite language / tone	67	29 %	72	30 %	4,335	26 %
	Impolite and intolerant actions	10	4 %	12	5 %	2,053	12 %
	Other neglect or failure in duty	0	0 %	0	0 %	0	0 %

# Reporting Period: 01 April 2021 - 31 March 2022 (Q4 2021/22)



Independent Office for Police Conduct

This section presents the five most commonly recorded categories for allegations that have been logged.

A complaint case will contain one or more allegations and one category (and sub-category, where available) is selected for each allegation logged.

Total % is of the total number of allegations logged.

Appropriate Authority: Cumbria

### Section A1.4: Allegations logged – What has been complained about (category) and the situational context of complaints (factors)

Factors	Delivery of duties and service	Polic power policies procedu
Arrest	11	110
Call handling	16	0
Child protection / CSA / CSE	16	3
Coronavirus – other	0	5
Custody	3	59
Death	21	2
Domestic / gender abuse	55	6
Drugs / alcohol	6	5
Firearms	4	0
Fraud	5	0
Hate crime	5	0
Investigation	308	28
Mental health	17	11
Missing persons	3	1
Neighbourhood policing	13	1
None	75	9
Premises search	0	24
Public order incident	8	2
Restraint equipment	0	12
Roads/traffic	42	18
Serious injury	5	3
Social media	4	0
Stop and/or search	2	13
Taser	0	2
VAWG - dissatisfaction handling	18	0
VAWG - police perpetrated	2	20

This section presents information that shows what people are complaining about using a combination of allegation categories and factors. Categories capture the root of the dissatisfaction expressed in a complaint. Factors capture the situational context of the dissatisfaction expressed in a complaint. The combination of categories and factors provides a richer picture of what people are complaining about compared to the categories alone.

Each allegation should have a single category selected. However, multiple factors can be selected on a single allegation. Therefore, the sum of factors will not equal the total allegations logged in each category. Please refer to our Guidance on capturing data about police complaints for definitions of categories and factors.

## Reporting Period: 01 April 2021 - 31 March 2022 (Q4 2021/22)

#### **Allegation category Handling of** Access and/or Discriminatory Use of Ab ce or damage disclosure of police **behaviour** ers, po vehicles information to property/ s and cor premises ures





Independent Office for Police Conduct

у У					
buse of osition/ rruption	Individual behaviours	Sexual conduct	Discreditable conduct	Other	Total
2	17	1	0	1	154
0	18	0	0	0	35
0	4	0	0	1	26
0	4	0	0	0	9
0	8	2	0	0	74
0	3	0	0	1	29
1	10	0	1	0	76
0	4	0	0	1	16
0	1	0	0	0	6
0	0	0	0	0	5
0	1	0	0	0	7
6	59	0	4	3	437
0	13	0	0	0	49
0	1	0	0	0	5
0	13	0	0	0	29
2	29	1	0	12	163
0	7	0	0	0	42
0	7	0	0	0	17
0	0	0	0	0	12
3	26	0	0	7	126
0	0	0	0	0	8
0	0	0	2	3	10
0	8	1	0	1	26
0	0	0	0	0	2
0	0	0	0	0	18
0	1	2	0	0	25

Appropriate Authority: Cumbria

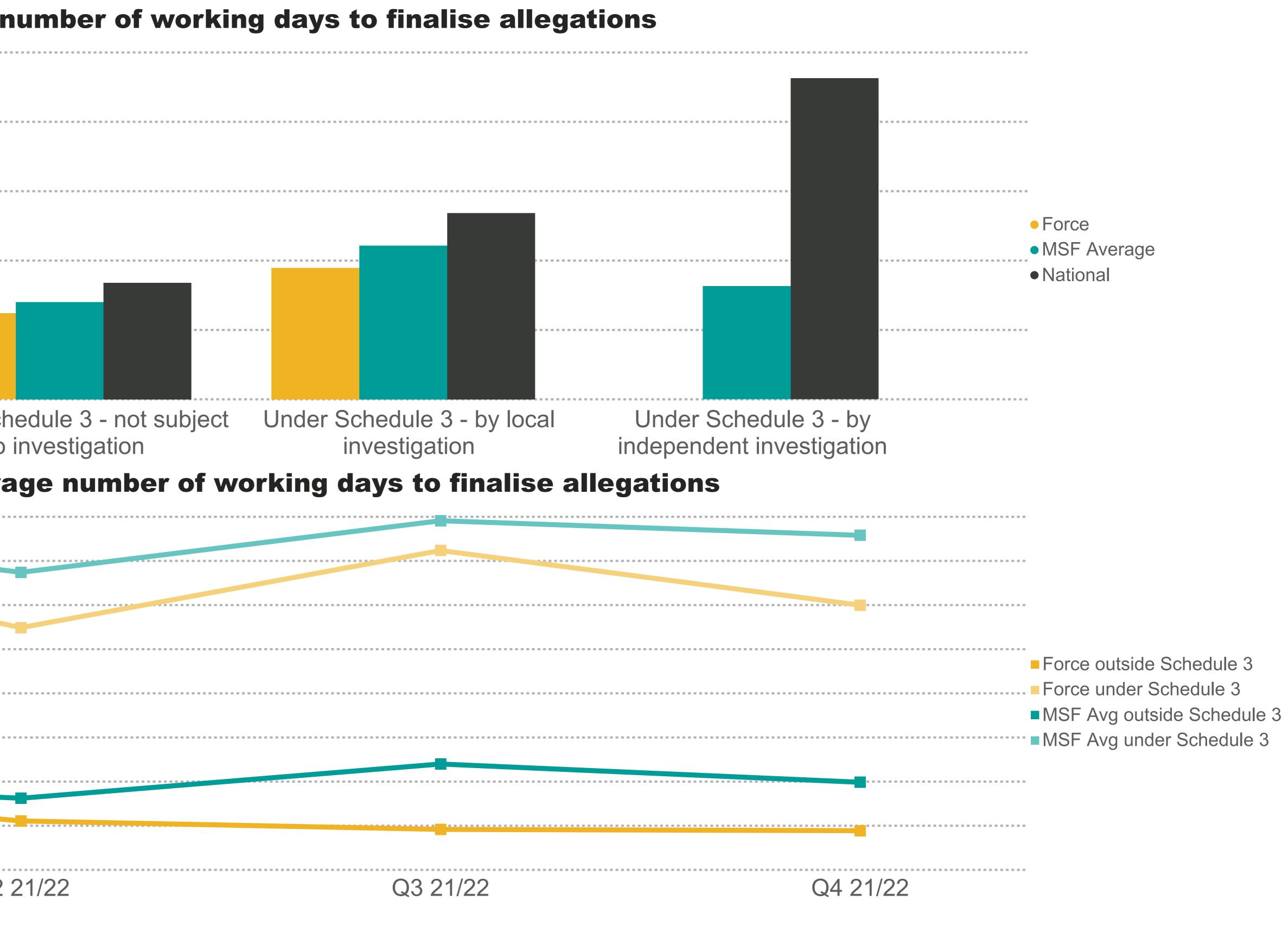
#### **Section A2: Allegations timeliness**

Average number of working days to finalise allegations ▲	Force	MSF Average	National
Outside of Schedule 3	12	19	21
Under Schedule 3 - not subject to investigation	62	70	84
Under Schedule 3 - by local investigation	95	111	134
Under Schedule 3 - by directed investigation	0	0	0
Under Schedule 3 - by independent investigation	0	82	231
<b>2</b> 50	•	number	of worki
200 ship days 150 100			
≤ 50			
Outside of Schedule 3	to	chedule 3 - o investigat <b>age nun</b>	ion
80			
70			
60			
sõ 0			
<u><u> </u></u>			
¥ 30			
<pre>&gt; 20</pre>			
10			
0 Q1 21/22	Q2	2 21/22	

This section presents the time it takes the force to finalise allegations by how they were handled. If gives a breakdown of allegations handled informally outside of Schedule 3 and those that were handled formally by either by investigation or handled other than by investigation. Timeliness is calculated from the date the allegation was received by the force.

At present, allegations finalised by directed investigations are being included under 'local' investigation'. This breakdown will be available in future bulletins.

Allegations with 'invalid dates' have been removed from the data shown. Please refer to the performance framework counting rules and calculations on the **IOPC** website for an explanation of invalid dates.





#### Appropriate Authority: Cumbria

## Section A3.1: How allegations were handled and their decisions

This section presents a breakdown of how allegations were handled information and the decisions being given. An allegation decision is logged for each allegation finalised. The allegation decision reflects how the complaint case has been handled, with different means of handling. Both the allegation decisions and the subsequent actions available will depend on two things: firstly, whether the complaint case has been handled outside or under Schedule 3; and secondly, the means of handling where it has been dealt with under Schedule 3. Please refer to our <u>Guidance on capturing data about police complaints</u> for details of allegation decisions.

#### How allegations were handled

Under Schedule 3 investigated (not subject to special proced

Under Schedule 3 investigated (subject to special procedure

Under Schedule 3 - not investigated

Outside of Schedule 3

Total

Please note that figures presented in these tables may be under-representing the number of allegations finalised under Schedule 3 and investigated (not subject to special procedures), instead grouping some of these allegations as finalised under Schedule 3 and not investigated. This is because police forces were not able to consistently and accurately collect this data on their systems until the implementation of an upgrade from November 2020.

How allegations were handled Outside of Schedule 3			Under Schedule 3 - not investigated			Under Schedule 3 investigated (subject to special procedures)			Under Schedule 3 investigated (not subject to special procedures)							
Allegation decision	Force	Force	Nat.	Nat.	Force	Force	Nat.	Nat.	Force	Force	Nat.	Nat.	Force	Force	Nat.	Nat.
	%	No.	%	No.	%	No.	%	No.	%	No.	%	No.	%	No.	%	No.
No further action			0 %	1	13 %	52	11 %	6,063			1 %	20			10 %	1,746
Regulation 41 applies							0 %	185							1 %	114
Service provided - unable to determine					5 %	22	5 %	2,872	<mark>42</mark> %	5	2 %	23	14 %	7	5 %	788
Service provided - not acceptable					17 %	69	12 %	6,916			4 %	58	8 %	4	10 %	1,724
Service provided - acceptable					<mark>65 %</mark>	267	67 %	37,733	<mark>3</mark> 3 %	4	16 %	224	<mark>78 %</mark>	39	71 %	11,912
Not Resolved	2 %	12	6 %	2,671												
Resolved	98 %	669	94 %	40,449												
No Case to Answer									17 %	2	52 %	704				
Case to Answer									8 %	1	22 %	294				
Withdrawal					1 %	3	4 %	2,306			3 %	36			3 %	525
Total	59 %	681	37 %	43,121	36 %	413	48 %	56,075	1 %	12	1 %	1,359	4 %	50	14 %	16,809

	Force No.	Force %	MSF Average No.	MSF Average %	National No.	<b>National %</b>
edures)	50	4 %	92	8 %	16,810	14 %
res)	12	1 %	6	1 %	1,359	1 %
	413	<mark>3</mark> 6 %	672	59 %	56,077	48 %
	681	<mark>59 %</mark>	378	<mark>32 %</mark>	43,127	37 %
	1,156	100 %	1147	100 %	117,373	100 %



Appropriate Authority: Cumbria

### Section A3.2: Allegation decisions by what was complained about (category)

This section presents information about allegations handled both informally, grouped by the allegation decision and the category selected on each allegation. Each allegation has a single category selected.

		Allegation category										
Allegation decisions	Delivery of duties and service		Handling of or damage to property/ premises	Access and/or disclosure of information	Use of police vehicles	Discriminatory behaviour		Individual behaviours		Discreditable conduct	Other	Total
No further action	22	12	2	1	1	1	6	6	1	0	0	52
Regulation 41 applies	0	0	0	0	0	0	0	0	0	0	0	0
Service provided - unable to determine	11	8	0	0	3	1	0	11	0	0	0	34
Service provided - not acceptable	32	18	0	5	0	1	0	13	0	2	2	73
Service provided - acceptable	118	108	5	4	0	14	6	50	2	2	1	310
Not Resolved	5	3	1	1	0	0	1	0	0	0	1	12
Resolved	339	91	43	12	20	6	1	138	0	0	19	669
No Case to Answer	0	2	0	0	0	0	0	0	0	0	0	2
Case to Answer	0	0	0	0	0	0	0	1	0	0	0	1
Withdrawal	2	0	0	0	0	1	0	0	0	0	0	3

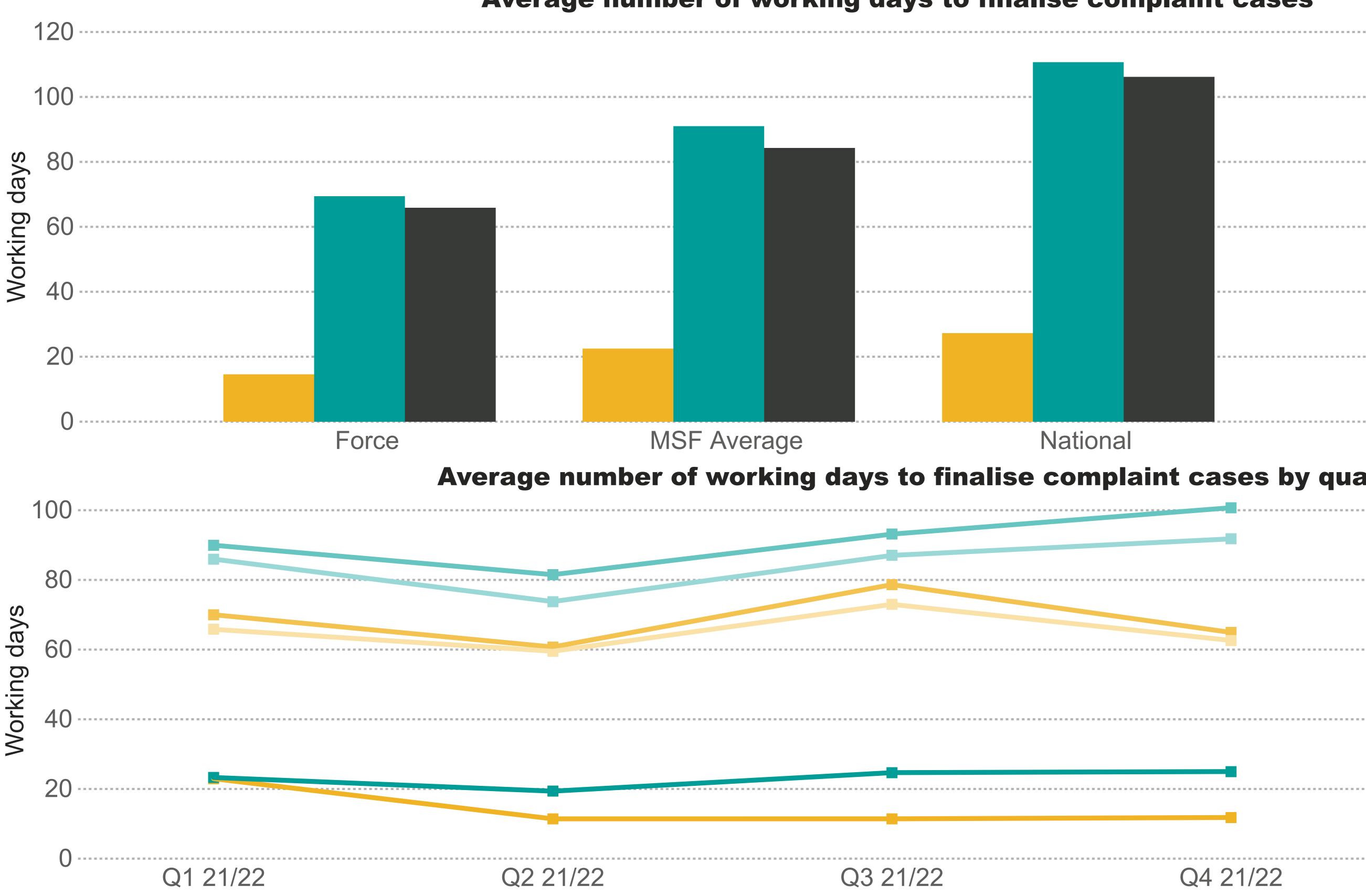




Appropriate Authority: Cumbria

### **Section A4: Complaint cases finalised - timeliness**

Average number of working days to finalise complaint cases	Force	MSF Average	National	This section shows the time it ta perspective. It gives a breakdow and those that are handled form
Outside of Schedule 3	15	22	27	complaint was made.
Under Schedule 3 (inc suspension)	69	91	111	Complaint cases with 'invalid da
Under Schedule 3 (not inc suspension)	66	84	106	IOPC website performance fram
				invalid dates.



Reporting Period: 01 April 2021 - 31 March 2022 (Q4 2021/22)

## Average number of working days to finalise complaint of



takes the force to finalise complaint cases from the customer's own of the time taken to finalise complaint cases handled informally rmally under Schedule 3. Timeliness is calculated from the date the

dates' have been removed from the data shown. Please refer to the amework counting rules and calculations on the for an explanation of

cases	
	<ul> <li>Outside of Schedule 3</li> <li>Under Schedule 3 (inc suspension)</li> <li>Under Schedule 3 (not inc suspension)</li> </ul>
es by qua	rter
	<ul> <li>Force: Outside of Schedule 3</li> <li>Force: Under Schedule 3 (inc suspension)</li> <li>Force: Under Schedule 3 (not inc suspension)</li> <li>MSF: Outside Schedule 3</li> </ul>
	<ul> <li>MSF: Under Schedule 3 (inc suspension)</li> <li>MSF: Under Schedule 3 (not inc suspension)</li> </ul>

Appropriate Authority: Cumbria

## **Section B1: Investigations (all investigation types) - timeliness**

This section presents information about complaints handled under Schedule 3 that are investigated. It covers complaint cases investigated by both the force and the IOPC. The data shows how long investigations have been open (active) at the end of the period being reported. Also, the time it takes for allegations that have been investigated to be finalised. Allegations with 'invalid dates' have been removed from finalised timeliness. Please refer to the performance framework counting rules and calculations on the IOPC website for an explanation of invalid dates.

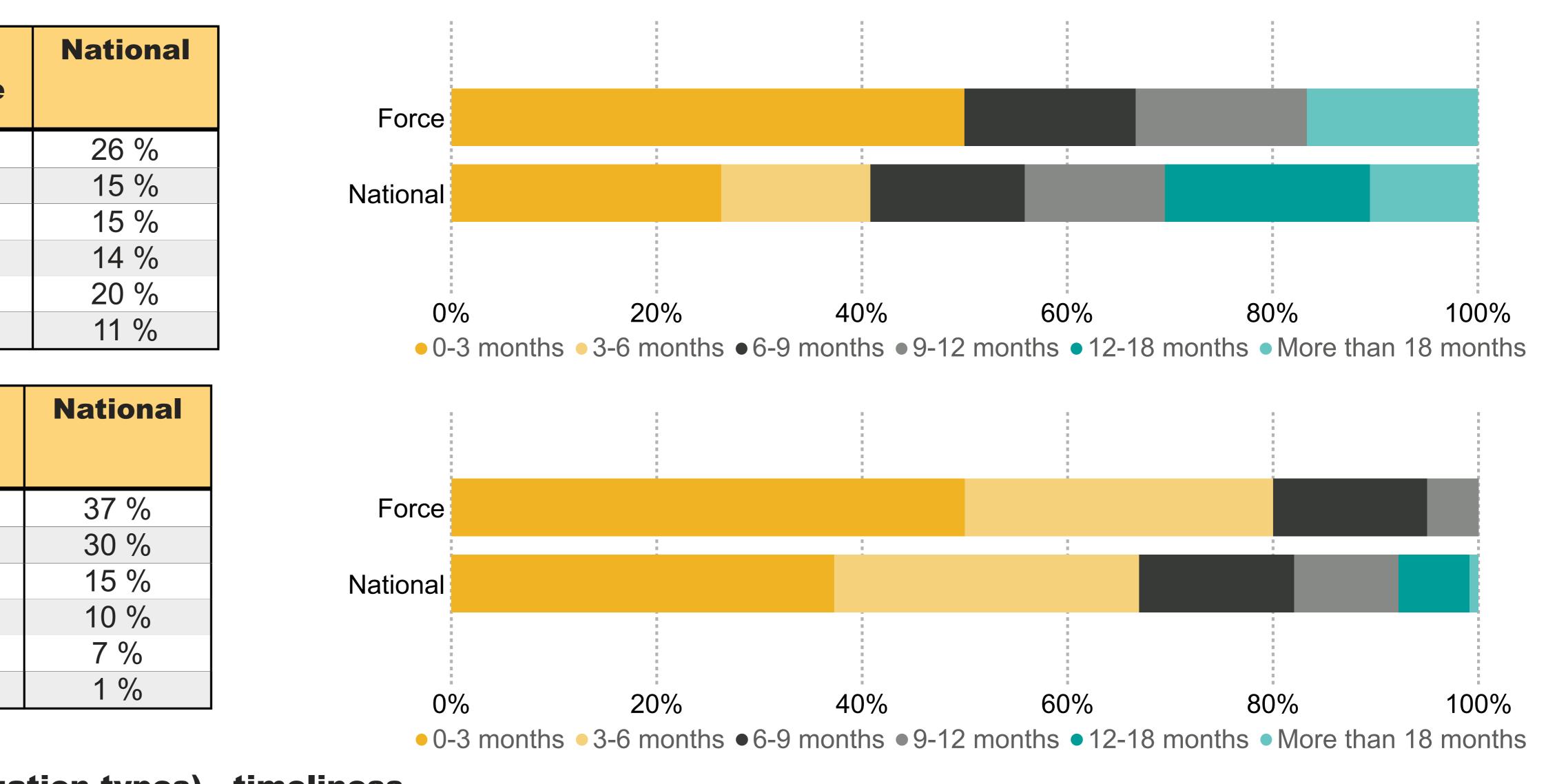
Investigations active for	Force	MSF Average
0-3 months	50 %	23 %
3-6 months	0 %	9 %
6-9 months	17 %	15 %
9-12 months	17 %	37 %
12-18 months	0 %	11 %
More than 18 months	17 %	6 %

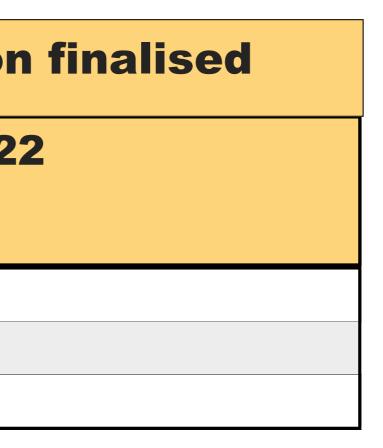
Investigations completed in	Force	MSF Average
0-3 months	50 %	37 %
3-6 months	30 %	27 %
6-9 months	15 %	18 %
9-12 months	5 %	12 %
12-18 months	0 %	6 %
More than 18 months	0 %	0 %

## Allegations finalised by investigation (all investigation types) - timeliness

	Year allegation
Average number of working days	2021/2
Force	95
MSF Average	112
National	135

Reporting Period: 01 April 2021 - 31 March 2022 (Q4 2021/22)





Data for 2020/21 was considered experimental. Due to this, figures for that financial year are not reported in this bulletin.



Appropriate Authority: Cumbria

## **Section B2: Investigations (by type of investigation) - timeliness**

This section presents information about complaint cases handled under Schedule 3 that are investigated, by the type of investigation. It covers complaint cases investigated by both the force and the IOPC. The data shows how long investigations have been open (active) at the end of the period being reported. Also, the time it takes for allegations that have been investigated to be finalised by the type of investigation. At present, allegations finalised by directed investigation'. The IOPC is working with force IT suppliers to ensure that reporting on this is possible in the future.

Allegations with 'invalid dates' have been removed from finalised timeliness. Please refer to the performance framework counting rules and calculations on the IOPC website for an explanation of invalid dates.

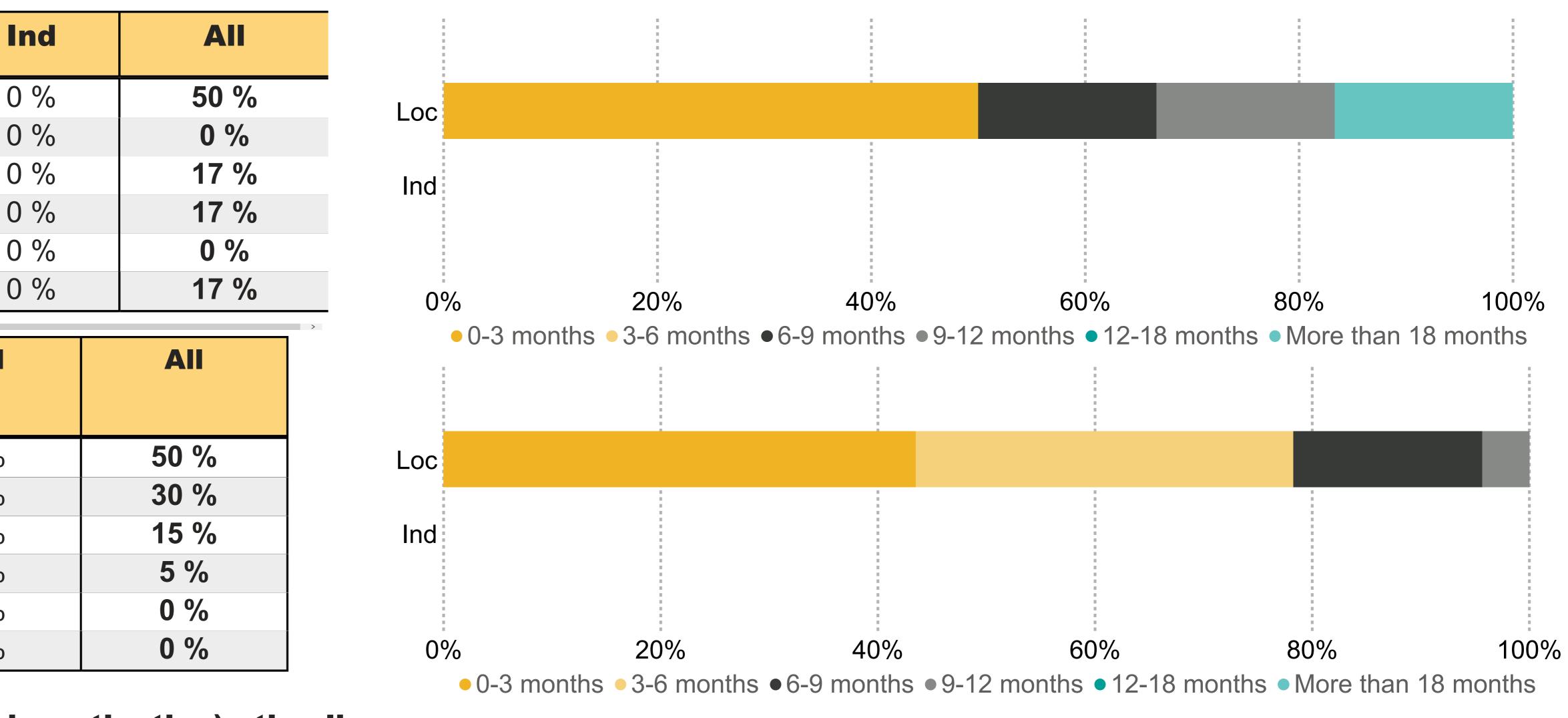
Investigations active for	Loc	Dir	
0-3 months	50 %	0 %	(
3-6 months	0 %	0 %	(
6-9 months	17 %	0 %	(
9-12 months	17 %	0 %	(
12-18 months	0 %	0 %	(
More than 18 months	17 %	0 %	(

Investigations completed	Loc	Ind
in ▲		
0-3 months	50 %	0 %
3-6 months	30 %	0 %
6-9 months	15 %	0 %
9-12 months	5 %	0 %
12-18 months	0 %	0 %
More than 18 months	0 %	0 %

### Allegations finalised by investigation (by type of investigation) - timeliness

	Year allegatio
Average number of working days	2021/2
Local	95
Directed	0
Independent	0
AII	95

Reporting Period: 01 April 2021 - 31 March 2022 (Q4 2021/22)





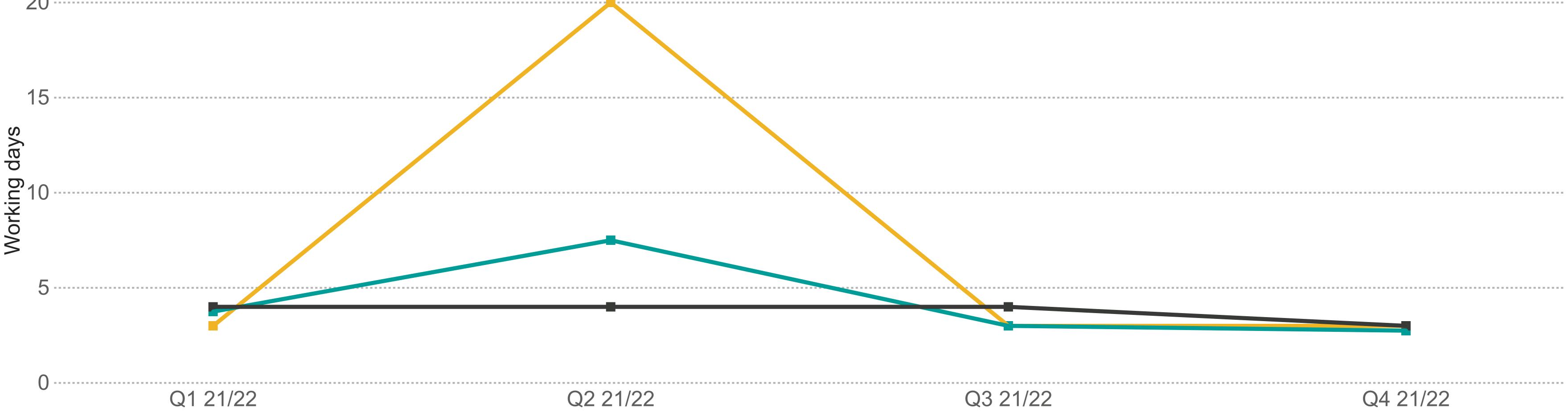
Data for 2020/21 was considered experimental. Due to this, figures that financial year are not reported in this bulletin.



Appropriate Authority: Cumbria

#### **Section C: Referrals**

	Force	Force %	MSF Average	<b>MSF Average %</b>	National	National %
Number referrals received	39		54		5,284	
Number referrals completed	38		53		5,261	
Decision: Independent Investigation	10	26%	6	13%	504	10%
Decision: Directed Investigation	1	3%	0	1%	47	1%
Decision: Local Investigation	15	39%	30	55%	3,073	58%
Decision: Return to Force	12	32%	17	31%	1,591	30%
Decision: Invalid	0	0%	0	0%	31	1%



The figures presented in this section include all referrals that the force makes to the IOPC (received), not just those arising from complaints. When the IOPC receives a referral from the force, it reviews the information they have provided. The IOPC decides whether the matter requires an investigation, and the type of investigation. Referrals may have been completed in a different period to when they were received. Where a referral is made by the force on a mandatory basis but does not meet the matter may not fall within the IOPC's remit to assess and will be determined invalid. The sum of decisions may not match the number of referrals completed. This is because some to the attention of the appropriate authority before 1 February 2020 and have investigation type decisions of either managed or supervised.

Reporting Period: 01 April 2021 - 31 March 2022 (Q4 2021/22)

Average number of working days to complete referrals by quarter

Force MSF Average National

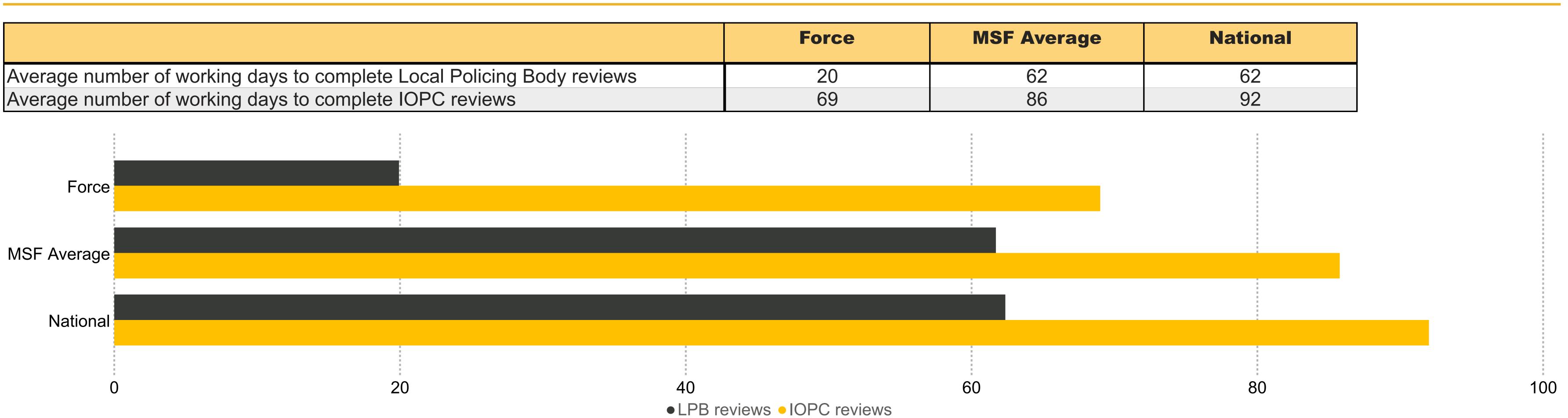


Appropriate Authority: Cumbria

### **Section D1: Reviews received**

	Complaint cases finalised under Schedule 3	Reviews pceived	Reviews received as proportion of complaint cases finalised under Schedule 3	Number LPB reviews received - investigation	Number LPB reviews received - non-investigation		Number IOPC reviews received - non-investigation
Force	219	62	28 %	0	57	2	3
MSF Average	367	72	24 %	1	47	7	17
National	33,602	6,635	20 %	574	4,456	788	817

#### **Section D2: Reviews timeliness**



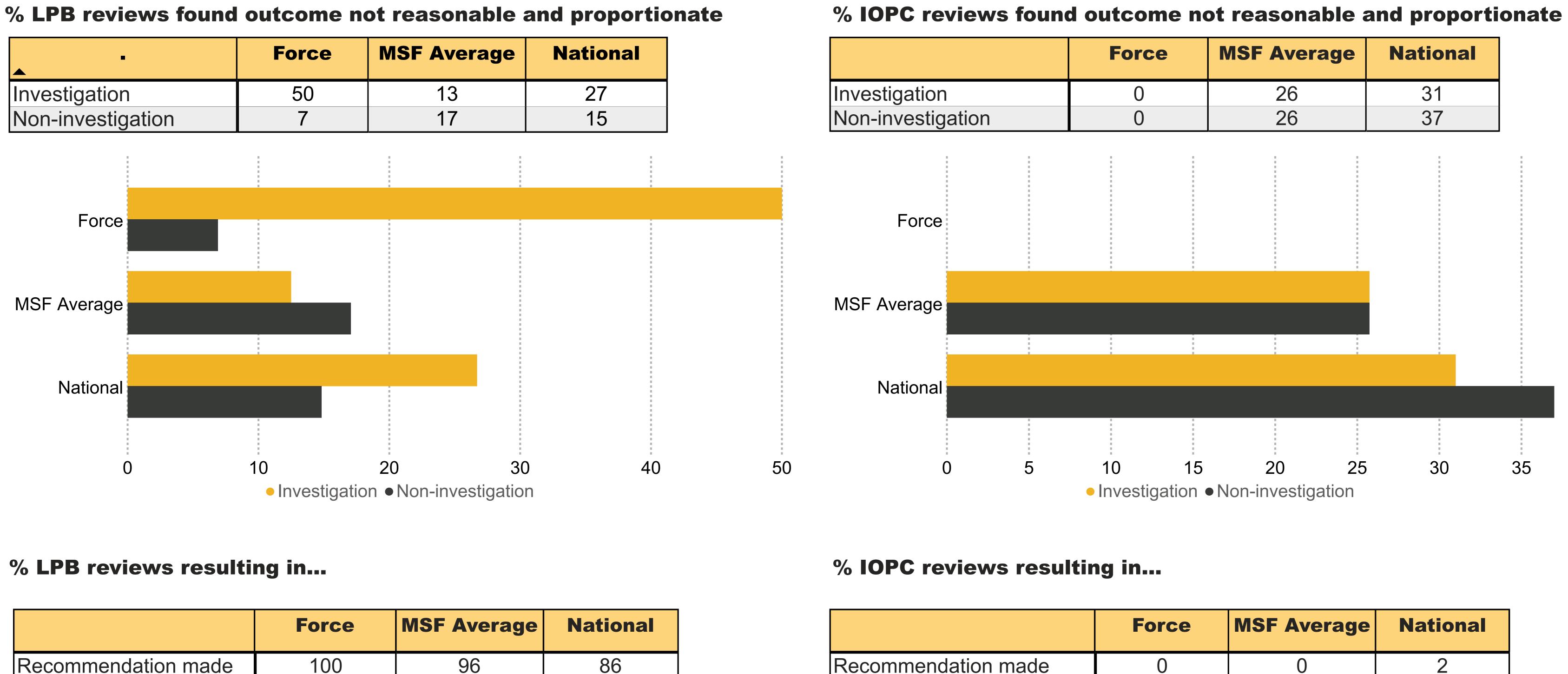
Where a complaint has been recorded under Schedule 3 to the Police Reform Act 2002, the complainant has a right to apply for a review. A person can apply for review if they are unhappy with the way their complaint was handled, or with the outcome. This applies whether the complaint has been investigated by the appropriate authority or handled otherwise than by investigation (non-investigation). The application for a review will be considered either by the local policing body or the IOPC; the relevant review body depends on the circumstances of the complaint. This section presents information about applications for review handled by both local policing bodies and the IOPC. Information about the right of review and who is the relevant review body is available in chapter 18 of the IOPC's Statutory Guidance on the police complaints system (February 2020). Reviews with 'invalid dates' have been removed from reviews timeliness. Please refer to the performance framework counting rules and calculations on the IOPC website for an explanation of invalid dates.



Appropriate Authority: Cumbria

### **Section D3: Decisions on reviews**

•	Force	<b>MSF Average</b>	
Investigation	50	13	
Non-investigation	7	17	



	Force	<b>MSF Average</b>
Recommendation made	100	96
-		

This section presents information about the decisions made on reviews by local policing bodies and the IOPC. It includes the proportion of reviews where the relevant review body found the outcome of the complaint was not reasonable and proportionate and those that resulted in a recommendation and, if necessary, a direction to the appropriate authority in respect of any person serving with the police.

Direction

Extra work commissioned

The percentage of reviews the relevant review body determines to be not reasonable and proportionate excludes any reviews that were deemed invalid. Invalid reviews may, for example, include applications that were made outside of the 28 day period to submit an application. Some caution is advised when looking at the percentage of reviews that found the complaint case outcome was not reasonable and proportionate due to the sometimes small number of reviews involved.

Where the IOPC does not have enough information to decide on a review of a police force undertakes further work to gather additional evidential material. This is known as commissioning further work. The proportion of reviews completed where additional work was commissioned are shown in this section.



## **MSF** Average National Force 26 31 26 37 $\mathbf{0}$ 35 20 30 15 25 40 10

Investigation
 Non-investigation

Force	<b>MSF Average</b>	National
0	0	2
0	22	22
0	0	1

Appropriate Authority: Cumbria

### **Section E1.1: Allegation actions - on complaint cases handled outside of Schedule 3**

	Force		MSF Average		National	
Actions following outside of Schedule 3 complaint case	No.	%	No.	%	No.	%
Organisational learning	1	0 %	1	1 %	169	0 %
Individual learning	0	0 %	0	0 %	348	1 %
Policy review	0	0 %	0	0 %	31	0 %
Goodwill gesture	0	0 %	0	0 %	135	0 %
Apology	30	6 %	19	8 %	3312	9 %
Debrief	1	0 %	2	1 %	562	1 %
Explanation	68	13 %	101	37 %	18482	48 %
No further action	384	73 %	171	48 %	11157	29 %
Learning from Reflection	2	0 %	5	3 %	696	2 %

This section presents information about what happened as a result of the allegation level and multiple actions can be selected, where appropriate, on single allegation. The figures shown in this section are based on allegations on finalised complaint cases that resulted in the corresponding action. As more than one action can be selected for a single allegation, the sum of all percentages will not equal 100%.

The actions available once an allegation is finalised depend on how the complaint case has been handled. Please refer to our Guidance on capturing data about police complaints for details of actions available.

Please note: not all of the available actions arising from the complaint handling are shown. The actions presented in this section are those that focus on putting an issue right and preventing it from happening again by encouraging those involved to reflect on their actions and learn.



Appropriate Authority: Cumbria

## Section E1.2: Allegation actions - on complaint cases handled under Schedule 3

	Fo	rce	MSF Average		National	
Actions following Schedule 3 complaint cases	No.	%	No.	%	No.	%
Referral to RPRP	8	4 %	4	1 %	1003	3 %
Learning from Reflection	12	5 %	48	13 %	3364	10 %
Other actions following a case to answer decision	0	0 %	1	0 %	20	0 %
Other action	5	2 %	4	1 %	517	2 %
No further action	163	74 %	241	63 %	15965	48 %
Unsatisfactory Performance Procedure (UPP)	1	0 %	1	0 %	14	0 %
Misconduct proceedings	1	0 %	1	0 %	68	0 %
Explanation	21	10 %	79	25 %	12350	37 %
Debrief	0	0 %	1	0 %	259	1 %
Apology	17	8 %	22	7 %	1737	5 %
Goodwill gesture	0	0 %	0	0 %	14	0 %
Policy review	1	0 %	2	0 %	41	0 %
Individual learning	1	0 %	1	0 %	86	0 %
Organisational learning	5	2 %	12	4 %	588	2 %

This section presents information about what happened as a result of the allegation level and multiple actions can be selected, where appropriate, on single allegation. The figures shown in this section are based on allegations on finalised complaint cases that resulted in the corresponding action. As more than one action can be selected for a single allegation, the sum of all percentages will not equal 100%.

The actions available once an allegation is finalised depend on how the complaint case has been handled. Please refer to our Guidance on capturing data about police complaints for details of actions available.

Please note: not all of the available actions arising from the complaint handling are shown. The actions presented in this section are those that focus on putting an issue right and preventing it from happening again by encouraging those involved to reflect on their actions and learn.



Appropriate Authority: Cumbria

## Section E2: Focus on RPRP, UPP, misconduct and criminal outcomes (for complaint cases handled under Schedule 3)

#### **RPRP** and learning

This section focusses on what happened as a result of the complaint case (action) in relation to RPRP, UPP, and a case to answer for misconduct. Actions are captured at allegation level and multiple actions can be selected, where appropriate, on a single allegation. The figures shown in this section are based on allegations on finalised complaint cases that resulted in the corresponding action. As more than one action can be selected for a single allegation, the sum of all percentages will not equal 100%. More than one UPP, RPRP, misconduct meeting and misconduct hearing can result from a single allegation, therefore the numbers shown do not represent the number of these processes which occurred in the period.

Number of complaint cases resulting in below actions	2021/22
RPRP	
National	1003
Force	8
Learning	
National	673
Force	6

#### **RPRP, UPP, misconduct and criminal**

	Force		MSF A	verage	National	
Percentage of complaint cases	No.	%	No.	%	No.	%
resulting in below actions						
	0	4.0/		4.0/	1000	0.0/
RPRP	8	4 %	4	1 %	1003	3 %
Misconduct meeting	1	0 %	1	0 %	55	0 %
Misconduct hearing	0	0 %	0	0 %	14	0 %
UPP	1	0 %	1	0 %	14	0 %

Percentage of complaint cases resulting in	2021/22		
below actions			
RPRP			
National	3 %		
Force	4 %		
Learning			
National	2 %		
Force	3 %		





Appropriate Authority: Cumbria

#### Notes

#### **Data sources**

Data in this bulletin is taken from XML data submissions made by forces to the IOPC every quarter except for IOPC performance data which is taken from the IOPC case management system.

Police force employee numbers are taken from the latest police workforce England and Wales statistics published by the Home Office. - The most similar force (MSF) groups used for the calculation of the MSF averages in this bulletin are those determined by HMICFRS. Please note that the British Transport Police

and City of London Police do not have an MSF group. A list of the MSF groups is available on the IOPC website.

- Figures for City of London include complaint cases logged in relation to 'Action Fraud'. Action Fraud'. Action Fraud'. Action Fraud'. Action Fraud'. by the City of London Police, which is the national policing lead for economic crime.

#### Performance Framework counting rules and calculation

- The counting rules and calculations used to produce the data shown in this bulletin can be found on the IOPC website.

- Average times are presented in working days and do not include weekends or bank holidays.

Some percentages may add up to more or less than 100% due to rounding.

Complaint cases and allegations with invalid start/end dates have been removed from average time calculations. Therefore, the numbers of complaint cases and allegations used in the average time calculations may be lower than the total number of complaint cases and allegations logged or finalised.

#### Data constraints

- The data is sourced from live case management systems and provides a snapshot of information as it was at that time. Therefore, there may be variances between the information in this bulletin and information reported at a later date.

#### **Further Information**

- A glossary providing a full list of definitions used in this bulletin, can be found on the <u>IOPC website</u>.

- Additional information about the recording of police complaints, including the definitions of the complaint categories, can be found in appendix A of the IOPC's Guidance on <u>capturing data about police complaints.</u>

Information about how the police complaints system operates, who can complain and how reviews are dealt with can be found in the IOPC's Statutory Guidance on the police <u>complaints system (February 2020)</u>.





Independent Office for Police Conduct